

| | | |
|--|--|--|
| U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE FEE SCHEDULE 07-2004 | | ATTORNEY'S DOCKET NUMBER 287.00040101 |
| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 | | U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/507 168 |
| INTERNATIONAL APPLICATION NO. PCT/US03/06969 | INTERNATIONAL FILING DATE 7 March 2003 | PRIORITY DATE CLAIMED 8 March 2002 |
| TITLE OF INVENTION POLYMERIZATION OF A REACTIVE DILUENT IN THE PRESENCE OF AN EPOXY-AMINE MATERIAL, AND COATING COMPOSITIONS PREPARED THEREBY | | |
| APPLICANT(S) FOR DO/EO/US HEUTS, Martin Peter Joseph et al. | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | |
| <ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c) (2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input checked="" type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). 11. <input type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409). 12. <input checked="" type="checkbox"/> A copy of the International Search Report (PCT/ISA/210). | | |
| Items 13 to 23 below concern document(s) or information included: | | |
| <ol style="list-style-type: none"> 13. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 14. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 15. <input type="checkbox"/> A FIRST preliminary amendment. 16. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 17. <input type="checkbox"/> A substitute specification. 18. <input type="checkbox"/> A power of attorney and/or change of address letter. 19. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 20. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). 21. <input type="checkbox"/> A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). 22. <input checked="" type="checkbox"/> Express Mail Label No. <u>EV405490602US</u> 23. <input checked="" type="checkbox"/> Other items or information: <p>Written Opinion (5 pgs); Itemized return-stamped postcard; Application Data Sheet (2 pgs); Replacement Claims (4 pages); Communication (1 pg); and authorization to charge DEPOSIT ACCOUNT NO. 13-4895 in the amount of \$1220.00 for US Nat'l Stage Filing Fees</p> | | |

BEST AVAILABLE COPY

| U.S. APPLICATION NO (if known, see 37 CFR 1.5) | INTERNATIONAL APPLICATION NO. | ATTORNEY'S DOCKET NUMBER | | | | | | | | | | | | | | | | | | | | |
|--|----------------------------------|---|--|----------------------------------|---|------|--|-----------|--|-----------------------|---|---------|---|----------------------|--|--|--------------------------|--------|------------------------------------|--|--|-------------------|
| 10/507168 | PCT/US03/06969 | 287.00040101 | | | | | | | | | | | | | | | | | | | | |
| <p>24. The following fees are submitted:</p> <p>BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)) :</p> <table border="0" style="width: 100%;"> <tr> <td style="vertical-align: top; width: 75%;"> <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1080.00</td> <td style="vertical-align: top; width: 25%; text-align: right;">CALCULATIONS PTO USE ONLY</td> </tr> <tr> <td><input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$920.00</td> <td></td> </tr> <tr> <td><input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$770.00</td> <td></td> </tr> <tr> <td><input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$730.00</td> <td></td> </tr> <tr> <td><input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00</td> <td></td> </tr> </table> <p style="text-align: center;">ENTER APPROPRIATE BASIC FEE AMOUNT =</p> <p style="text-align: right;">\$730.00</p> | | | <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1080.00 | CALCULATIONS PTO USE ONLY | <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$920.00 | | <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$770.00 | | <input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$730.00 | | <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00 | | | | | | | | | | | |
| <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1080.00 | CALCULATIONS PTO USE ONLY | | | | | | | | | | | | | | | | | | | | | |
| <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$920.00 | | | | | | | | | | | | | | | | | | | | | | |
| <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$770.00 | | | | | | | | | | | | | | | | | | | | | | |
| <input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$730.00 | | | | | | | | | | | | | | | | | | | | | | |
| <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00 | | | | | | | | | | | | | | | | | | | | | | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)). | | □ 20 <input checked="" type="checkbox"/> 30 \$130.00 | | | | | | | | | | | | | | | | | | | | |
| <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 25%;">CLAIMS</th> <th style="width: 25%;">NUMBER FILED</th> <th style="width: 25%;">NUMBER EXTRA</th> <th style="width: 25%;">RATE</th> </tr> </thead> <tbody> <tr> <td>Total claims</td> <td>33 - 20 =</td> <td>13</td> <td>x \$18.00 \$234.00</td> </tr> <tr> <td>Independent claims</td> <td>4 - 3 =</td> <td>1</td> <td>x \$86.00 \$86.00</td> </tr> <tr> <td colspan="2">Multiple Dependent Claims (check if applicable).</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: right;">\$0.00</td> </tr> <tr> <td colspan="3" style="text-align: center;">TOTAL OF ABOVE CALCULATIONS</td> <td style="text-align: right;">\$1,180.00</td> </tr> </tbody> </table> | | | CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | Total claims | 33 - 20 = | 13 | x \$18.00 \$234.00 | Independent claims | 4 - 3 = | 1 | x \$86.00 \$86.00 | Multiple Dependent Claims (check if applicable). | | <input type="checkbox"/> | \$0.00 | TOTAL OF ABOVE CALCULATIONS | | | \$1,180.00 |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | | | | | | | | | | | | | | | | | | |
| Total claims | 33 - 20 = | 13 | x \$18.00 \$234.00 | | | | | | | | | | | | | | | | | | | |
| Independent claims | 4 - 3 = | 1 | x \$86.00 \$86.00 | | | | | | | | | | | | | | | | | | | |
| Multiple Dependent Claims (check if applicable). | | <input type="checkbox"/> | \$0.00 | | | | | | | | | | | | | | | | | | | |
| TOTAL OF ABOVE CALCULATIONS | | | \$1,180.00 | | | | | | | | | | | | | | | | | | | |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. \$0.00 | | | | | | | | | | | | | | | | | | | | | | |
| SUBTOTAL = \$1,180.00 | | | | | | | | | | | | | | | | | | | | | | |
| Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492(f)). | | □ 20 □ 30 + \$0.00 | | | | | | | | | | | | | | | | | | | | |
| TOTAL NATIONAL FEE = \$1,180.00 | | | | | | | | | | | | | | | | | | | | | | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). | | <input checked="" type="checkbox"/> \$40.00 | | | | | | | | | | | | | | | | | | | | |
| TOTAL FEES ENCLOSED = \$1,220.00 | | | | | | | | | | | | | | | | | | | | | | |
| <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Amount to be: refunded</td> <td style="width: 50%;">\$</td> </tr> <tr> <td>charged</td> <td>\$</td> </tr> </table> | | | Amount to be: refunded | \$ | charged | \$ | | | | | | | | | | | | | | | | |
| Amount to be: refunded | \$ | | | | | | | | | | | | | | | | | | | | | |
| charged | \$ | | | | | | | | | | | | | | | | | | | | | |
| <p>a. <input type="checkbox"/> A check in the amount of _____ to cover the above fees is enclosed.</p> <p>b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>13-4895</u> in the amount of <u>\$1,220.00</u> to cover the above fees.</p> <p>c. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>13-4895</u></p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p> | | | | | | | | | | | | | | | | | | | | | | |
| <p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.</p> | | | | | | | | | | | | | | | | | | | | | | |
| <p>SEND ALL CORRESPONDENCE TO:</p> <p>Loren D. ALBIN MUETING, RAASCH & GEBHARDT, P.A. P.O. Box 581415 Minneapolis, Minnesota 55458-1415 United States of America</p> | | | | | | | | | | | | | | | | | | | | | | |
|  SIGNATURE | | | | | | | | | | | | | | | | | | | | | | |
| <p>Loren D. ALBIN NAME _____ 37,763 REGISTRATION NUMBER _____ 8 September 2004 DATE _____</p> | | | | | | | | | | | | | | | | | | | | | | |

Applicant(s): HEUTS et al.

DT15 Rec'd PCT/PTO 08 SEP 2004

Docket No.: 287.00040101

Title: POLYMERIZATION OF A REACTIVE DILUENT IN THE PRESENCE OF AN EPOXY-AMINE MATERIAL, AND COATING COMPOSITIONS PREPARED THEREBY

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

U.S. NATIONAL STAGE PATENT APPLICATION

We are transmitting the following documents along with this Transmittal Sheet (which is submitted in triplicate):

Transmittal Letter to the United States DO/EO/US Concerning a Filing Under 35 U.S.C. 371 (Form PTO-1390) (2 signed copies, 2 pages each)

A signed/unsigned Declaration and Power of Attorney (__ pgs).

Application Data Sheet (2 pgs).

An itemized return postcard.

An Assignment of the invention to Valspar Sourcing, Inc. and Recordation Form Cover Sheet (3 pages).

Please charge the \$40.00 Assignment Recording Fee to Deposit Account No. _____

A certified copy of a __ application, Serial No. ___, filed ____, the right of priority of which is claimed under 35 U.S.C. §119.

Computer readable form of "Sequence Listing." Applicants state that the paper copy form of the "Sequence Listing" section of the present application, and the computer readable form submitted herewith, are the same.

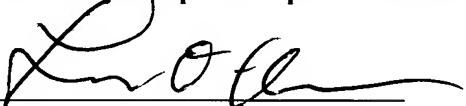
Other: Amendment Before the International Preliminary Examining Authority (11 pages), Replacement Claims (4 pages), International Search Report (3 pages); and Written Opinion (5 pages) and Communication (1 page).

Please charge DEPOSIT ACCOUNT NO. 13-4895 in the amount of \$1,220.00 to pay the filing fees.

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 13-4895. Triplicate copies of this sheet are enclosed.

MUETING, RAASCH & GEBHARDT, P.A.

Customer Number: 26813

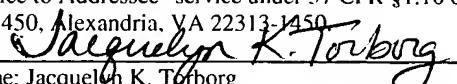
By: 
Name: Loren D. Albin
Reg. No.: 37,763
Direct Dial: 612-305-1225
Facsimile: 612-305-1228

CERTIFICATE UNDER 37 CFR §1.10:

"Express Mail" mailing label number: EV405490602US

Date of Deposit: 8 September 2004

I hereby certify that the Transmittal Letter and the paper(s) and/or fee(s), as described hereinabove, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By: 
Name: Jacqueline K. Torborg

(LARGE ENTITY TRANSMITTAL UNDER RULE 1.10)

BEST AVAILABLE COPY

10/507168

DT15 Rec'd PCT/PTO 08 SEP 2004

PATENT

Docket No. 287.00040101 (Valspar Docket No. 06 1574 0101)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): HEUTS et al.) Group Art Unit: Unknown
)
Serial No.: Unassigned) Examiner: Unassigned
(National Stage of PCT/US03/06969)
)

For: POLYMERIZATION OF A REACTIVE DILUENT IN THE PRESENCE OF AN EPOXY-AMINE MATERIAL, AND COATING COMPOSITIONS PREPARED THEREBY

COMMUNICATION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Applicants have checked the box on Form PTO-1390 for a Basic National Filing Fee Under 37 CFR 1.492(a) of \$730.00. However, an International Preliminary Examination Report (IPER) has not been issued in the corresponding (above-identified) international (PCT) application. Accordingly, Applicants are unaware of whether or not all claims satisfy the provisions of PCT Article 33(1)-(4). If an IPER subsequently issues indicating that all claims do in fact satisfy the provisions of PCT Article 33(1)-(4), Applicants request a refund in the amount of \$630.00 (the difference in fees under 37 CFR 1.482) be credited to Deposit Account No. 13-4895.

Please contact the undersigned attorney with any questions.

CERTIFICATE UNDER 37 C.F.R. 1.10:

The undersigned hereby certifies that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Jacquelyn K. Torborg
Jacquelyn K. Torborg
"Express Mail" mailing label number:
EV405490602US
Date of Deposit: 8 September 2004

Respectfully submitted for
HEUTS et al.

By
Mueting, Raasch & Gebhardt, P.A.
P.O. Box 581415
Minneapolis, MN 55458-1415
Phone: (612)305-1220
Facsimile: (612)305-1228
Customer Number 26813

Loren D. Albin
By: Loren D. Albin
Reg. No. 37,763
Direct Dial (612)305-1225

Date

September 8, 2004